

Alibaba.com Gold Plus Supplier

Verified

Assessment Report

Presented to

Shenzhen Nawsun Technology Co., Ltd

深圳市诺上科技有限公司

| | |
|--|---|
| Gold Supplier & Assessed Company Relationship: | <input checked="" type="checkbox"/> Self-owned <input type="checkbox"/> Wholly Owned <input type="checkbox"/> Shareholder/Partner <input type="checkbox"/> Kindred between Owners <input type="checkbox"/> Cooperation Partner |
| Company Address | Room 2510, 25/F, Block E, Phase II, Yinxin Center, Henggang Building, Longgang District, Shenzhen City, Guangdong Province, China |
| City / Country: | Shenzhen / China |
| Consigner of Assessment: | Alibaba |
| Gold Supplier Member ID: | nawsun |
| Gold Supplier Company Name: | Shenzhen Nawsun Technology Co., Ltd |
| Contact Person: | Ms. Rita Yu |
| Phone Number: | 0086-755-83192490 |
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Service Provided by TÜV Rheinland

Report No.: 19903918_T





| | | | |
|---------------------|--------------|----------------------|---|
| Report Number: | 19903918_T | Assessment Type | Trading Assessment |
| Date of Assessment: | 06/Jan./2020 | Report Date: | 06/Jan./2020 |
| Assessor's Name: | Siqi Gao | Validity Period: | 07/Jan./2020 -- 06/Jan./2021 |
| Reviewed By: | Lihua Wu | Online Verification: | http://assessed-suppliers.chn.tuv.com |

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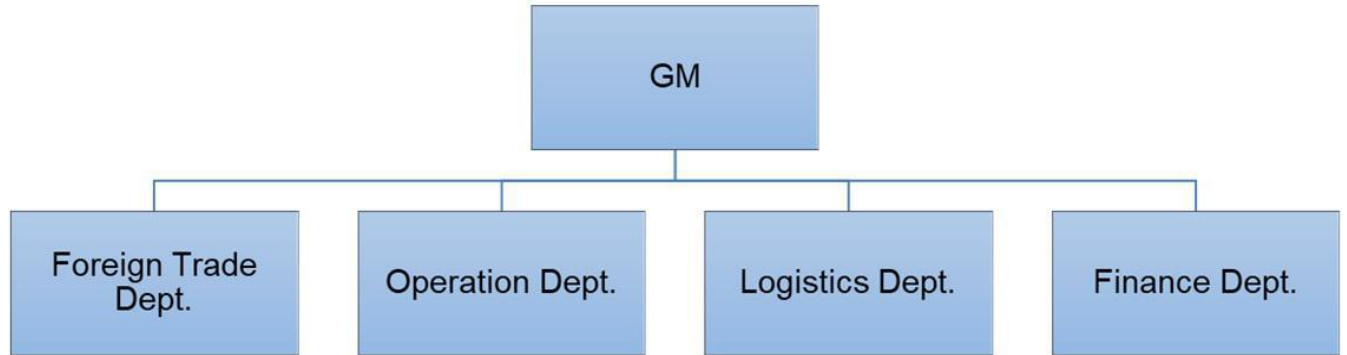
Section 1: Company Overview

| Company Overview | | | |
|--|---|--------------------------|---|
| 1.1 Legal Validity | | | |
| Does the company have a valid business license? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | Business License Number: | 91440300MA5F73444A |
| Year Established: | 29/Jun./2018 | Validity Period: | 29/Jun./2018--31/Dec./2049 |
| Export Experience: | 2 Years | Industry Experience: | 2 Years |
| Registered Address: | Room 3C02, 3rd Floor, Building 19, Dayun Software Town, Henggang Street, Longgang District, Shenzhen City, Guangdong Province, China | | |
| Company Address: | Room 2510, 25/F, Block E, Phase II, Yinxin Center, Henggang Building, Longgang District, Shenzhen City, Guangdong Province, China | | |
| Annual review conducted by the Industrial & Commercial Bureau? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | Reviewed By: | Market Supervision Administration of Shenzhen |
| Registered Capital: | RMB 5,000,000 | | |
| Corporate Representative: | Ms. Yan Yu | | |
| Industry: | Air Conditioning Appliances; Indoor Lighting; Home Decor | | |
| Business Type: | <input type="checkbox"/> Manufacturer <input checked="" type="checkbox"/> Trading Company <input type="checkbox"/> Manufacturer & Trading Company | | |
| Type of Ownership: | <input checked="" type="checkbox"/> Private Owner <input type="checkbox"/> Public Company <input type="checkbox"/> Joint Venture <input type="checkbox"/> Stated Owned <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Other | | |
| Products /Service: | Air Humidifier; Aroma Diffuser; Night Light; Alarm Clock | | |
| 1.2 Company Building Information | | | |
| Certification Type: | <input type="checkbox"/> Land Certification <input type="checkbox"/> Real Estate Certification <input type="checkbox"/> Lease Agreement <input checked="" type="checkbox"/> Factory Officer Claimed | | |
| Total Building Size: | 90 m ² | | |
| Office Size: | 90 m ² | | |

Section 2: Human Resources

Human Resources

2.1 Company Chart



2.2 Employee Headcount

| Department Name | Full Time Employee(s) | Part-Time Employee(s) | Total |
|----------------------|-----------------------|-----------------------|-------|
| GM | 1 | 0 | 1 |
| Foreign Trade Dept. | 5 | 0 | 5 |
| Operation Dept. | 2 | 0 | 2 |
| Logistics Dept. | 2 | 0 | 2 |
| Finance Dept. | 1 | 0 | 1 |
| Total Number: | 11 | 0 | 11 |

2.3 Management

| Department | Name | Title | Education | Year(s) in Current Company |
|--------------|--------------|--------------|--------------|----------------------------|
| Confidential | Confidential | Confidential | Confidential | Confidential |

Section 3: Current Export Situation

Current Export Situation

There is/are 5 foreign trading employee(s) in the company.

| Working Experience | Headcount | Accepted Language | Listening & Speaking | Reading & Writing |
|--------------------|-----------|-------------------|----------------------|-------------------|
| Over 30 Years | 0 | English | YES | YES |
| 21-30Years | 0 | N/A | N/A | N/A |
| 11-20 Years | 0 | N/A | N/A | N/A |
| 6-10 Years | 0 | N/A | N/A | N/A |
| 2-5 Years | 0 | N/A | N/A | N/A |
| Less than 2 years | 5 | N/A | N/A | N/A |

| | |
|--|--|
| Does the company have a valid export license? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
| Export License Registration No.: | N/A |
| Total Revenue (Previous Year): | Confidential |
| Total Export Revenue (Previous Year): | Confidential |
| Estimated Export Revenue (Current Year): | Confidential |
| Trade Agents Employed Overseas: | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| Nearest Port: | Shenzhen Port |
| Accepted Payment Terms | <input checked="" type="checkbox"/> FOB <input checked="" type="checkbox"/> CIF <input checked="" type="checkbox"/> EXW <input checked="" type="checkbox"/> CFR |
| Accepted Payment Type: | <input checked="" type="checkbox"/> L/C <input checked="" type="checkbox"/> T/T <input checked="" type="checkbox"/> Credit Card <input checked="" type="checkbox"/> Cash <input checked="" type="checkbox"/> West Union <input checked="" type="checkbox"/> Money Gram <input checked="" type="checkbox"/> Paypal <input type="checkbox"/> Moneybooker |
| Average lead time from product order confirmation to production delivery (products exiting the factory): | |
| Product Category | Num Unit |
| Air Humidifier, Aroma Diffuser, Night Light, Alarm Clock | 7 Days |
| Average Sampling Time | |
| Product Category | Lead Time |
| Air Humidifier, Aroma Diffuser, Night Light, Alarm Clock | 3 Days |
| The Shortest Sampling Time | |
| Product Category | Shortest Lead Time |
| Air Humidifier, Aroma Diffuser, Night Light, Alarm Clock | 2 Days |

Section 4: Export Business Capacity

| Export Business Capacity | | | |
|--|--|-------------------|-------------------|
| 4.1 Market Distribution (Previous 12 Months) | | | |
| Market | Main Product(s) | Revenue (USD) | Total Revenue (%) |
| North America | Air Humidifier, Aroma Diffuser, Night Light, Alarm Clock | Confidential | 30 |
| South America | Air Humidifier, Aroma Diffuser, Night Light, Alarm Clock | Confidential | 5 |
| Eastern Europe | Air Humidifier, Aroma Diffuser, Night Light, Alarm Clock | Confidential | 5 |
| Southeast Asia | Air Humidifier, Aroma Diffuser, Night Light, Alarm Clock | Confidential | 5 |
| Africa | N/A | 0 | 0 |
| Oceania | Air Humidifier, Aroma Diffuser, Night Light, Alarm Clock | Confidential | 10 |
| Mid East | N/A | 0 | 0 |
| Eastern Asia | Air Humidifier, Aroma Diffuser, Night Light, Alarm Clock | Confidential | 5 |
| Western Europe | Air Humidifier, Aroma Diffuser, Night Light, Alarm Clock | Confidential | 20 |
| Central America | N/A | 0 | 0 |
| Northern Europe | Air Humidifier, Aroma Diffuser, Night Light, Alarm Clock | Confidential | 5 |
| Southern Europe | Air Humidifier, Aroma Diffuser, Night Light, Alarm Clock | Confidential | 10 |
| South Asia | N/A | 0 | 0 |
| Domestic Market | Air Humidifier, Aroma Diffuser, Night Light, Alarm Clock | Confidential | 5 |
| 4.2 Main Clients | | | |
| Client Name | Main Product(s) | Total Revenue (%) | |
| Confidential | Confidential | Confidential | |

Section 5: Quality Assurance

| Quality Assurance | | | | |
|--|---|--|--------------------------|---------------|
| 5.1.1 Quality Management System Certification | | | | |
| Certification | Certified By | Certificate No. | Business Scope | Validity Date |
| N/A | N/A | N/A | N/A | N/A |
| 5.1.2 Product Certification | | | | |
| Certification | Certified By | Certificate No. | Product Name & Model No. | Validity Date |
| N/A | N/A | N/A | N/A | N/A |
| 5.2 Testing Report | | | | |
| Certification | Certified By | Certificate No. | Product Name & Model No. | Validity Date |
| N/A | N/A | N/A | N/A | N/A |
| 5.3 Supplier Management | | | | |
| Item | Content | Observations /Comments | | |
| 5.3.1 | Does the company have a supplier assessment procedure? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | |
| 5.3.2 | Does the company have an updated list of approved suppliers? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | |
| 5.3.3 | Has the company established and implemented a standard procedure for purchasing contract review and approval? | <input checked="" type="checkbox"/> Yes, with written standard procedures <input type="checkbox"/> Yes, with written procedure but lack of consistent standard <input type="checkbox"/> No | | |
| 5.3.4 | Does the company keep its supplier assessment reports? | <input type="checkbox"/> Yes, assessment reports are available for more than 3 years <input type="checkbox"/> Yes, assessment reports are available for the last 1-3 years <input checked="" type="checkbox"/> Yes, assessment reports are available for the previous 12 months <input type="checkbox"/> No | | |
| 5.3.5 | Are the company's purchasing documents sufficient to ensure product safety control and their customers' requirements? | <input checked="" type="checkbox"/> Yes, the purchasing document includes all the information required <input type="checkbox"/> Yes, however the purchasing document includes incomplete information <input type="checkbox"/> No | | |
| 5.3.6 | Is there a procedure to conduct random product inspections after | <input type="checkbox"/> Yes, with clear standard and written inspection records <input checked="" type="checkbox"/> Yes, with inspection records but no procedures | | |

| | | | | | | |
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| | final packaging? | <input type="checkbox"/> Yes, with procedures but no inspection records <input type="checkbox"/> No, inspections are not necessary |
|--------------------------------|--|---|
| 5.4 After Sales Service | | |
| Item | Content | Observations /Comments |
| 5.4.1 | Is customer feedback, including complaints, clearly recorded and maintained? | <input checked="" type="checkbox"/> Yes, with a standard feedback form and records <input type="checkbox"/> Yes, with a standard feedback form but no records <input type="checkbox"/> Yes, with records but no standard feedback form <input type="checkbox"/> No |
| 5.4.2 | Are there any clear procedures for handling customer complaints? | <input checked="" type="checkbox"/> Yes, with clear procedures and written records <input type="checkbox"/> Yes, with clear procedures but no written records <input type="checkbox"/> Yes, with written records but no clear procedures <input type="checkbox"/> No |
| 5.4.3 | Is there a closed-loop corrective action system in place? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
| 5.4.4 | Can finished/packaged products be traced by lot identification to the appropriate raw material test reports? | <input type="checkbox"/> Yes, with procedures to trace raw materials <input checked="" type="checkbox"/> Yes, main raw material can be traced <input type="checkbox"/> No, only the production date can be traced <input type="checkbox"/> No |
| 5.4.5 | Is there a product alert and recall procedure? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |

Section 6: R & D Capacity

| R&D Capacity | | | | |
|--|------------------------|--|-------------------|-----------|
| 6.1 Current Situation | | | | |
| There is/are <u>0</u> R&D engineer(s) in the company. | | | | |
| Education Level | Headcount | | Work Experience | Headcount |
| Doctorate | 0 | | Over 30 Years | 0 |
| Post-Graduate | 0 | | 21-30 Years | 0 |
| Graduate | 0 | | 11-20 Years | 0 |
| Junior College | 0 | | 6-10 Years | 0 |
| Technical School | 0 | | 2-5 Years | 0 |
| High School | 0 | | Less than 2 years | 0 |
| Patent Situation | | | | |
| Patent No. | The Name of the Patent | The Patent Type | Available Date | |
| N/A | N/A | N/A | N/A | |
| Brand Situation | | | | |
| Registration/ap plication No. | Brand Name | For Approval to Use Goods | Validity Date | Ref. |
| N/A | N/A | N/A | N/A | N/A |
| The Average Time For New Products Launched | | | | |
| Product Category | | Lead Time | | |
| N/A | | N/A | | |
| The Shortest Time For A New Item Launched | | | | |
| Product Category | | Shortest Lead Time | | |
| N/A | | N/A | | |
| Does the company provide ODM service for others? | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| Are there relevant design input/output, review, and verification documents available for the assessment company? | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| Based on inspection, are R & D employees equipped with adequate specialized equipment? | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| If yes, please list all key equipment used: | | N/A | | |
| Do R& D employees use any specific software for designing new products? | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| If yes, please list the main software used: | | N/A | | |
| Please list all certifications and/or qualifications of the R & D department: | | N/A | | |



| | |
|--|--|
| Has the company established standard design procedures for new products? | <input type="checkbox"/> Yes, with clear written instructions <input type="checkbox"/> Yes, without written instructions <input checked="" type="checkbox"/> No |
| Have the designed products been internal verified or validated? | <input type="checkbox"/> Yes, with clear written records <input type="checkbox"/> Yes, only part written records <input type="checkbox"/> Yes, without written records <input checked="" type="checkbox"/> No |
| Have the designed products been tested by a third-party inspection body? | <input type="checkbox"/> Yes, all designed products have been tested <input type="checkbox"/> Yes, only part of designed products have been tested <input checked="" type="checkbox"/> No |
| Are the designed products confirmed by the customers? | <input type="checkbox"/> Yes, all designed products have been confirmed <input type="checkbox"/> Yes, part of designed products have been confirmed according to client's requirements <input checked="" type="checkbox"/> No |
| Does the company has qualification requirements for designers? | <input type="checkbox"/> Yes, with written job description <input type="checkbox"/> Yes, without written job description <input type="checkbox"/> No, but at least two years design experience is needed <input checked="" type="checkbox"/> No |
| Are the designers' qualifications recognized by the company? | <input type="checkbox"/> Yes, with written records <input type="checkbox"/> Yes, without written records <input checked="" type="checkbox"/> No |
| 6.2 R&D Real Case Description | |
| Customer's Name | N/A |
| Customer's Location | N/A |
| Customer's Industry: | N/A |
| Order's Requirement Description: | N/A |
| 6.3 Design Process (N/A) | |
| 6.4 Design Devices (N/A) | |



Section 7: Company Development / Expansion Plans







| Company Development / Expansion Plans | | |
|---------------------------------------|---|-----------|
| Item | Company Development Action | Timeframe |
| 1 | The company is going to enlarge overseas market | 12 Months |

Section 8: Certification & Photos

| Certification & Photos | |
|---|--|
| Certification & Photos -- Business License | Certification & Photos -- Permit for Opening Bank Account |
| | |
| Patent Photos (N/A) | |
| Product Certification Photos (N/A) | |
| Quality Management System Certification Photos (N/A) | |
| Testing Report Photos (N/A) | |
| Trademark Photos (N/A) | |



Section 9: Company and Product Samples

| Company and Product Samples | |
|---|--|
| Company Gate | Office |
|  |  |
| Product Sample | Product Sample |
|  |  |
| Product Sample | Product Sample |
|  |  |



Product Sample



Product Sample



Section 10: Competitive Advantages

| 10.1 Product Group Capacity | | | | |
|--|--------------------------|--|--------------------|------------------|
| 10.1.1 Products Sold (Within 12 Months) | | | | |
| Products Name | Quantity | | Revenue (USD) | |
| Confidential | Confidential | | Confidential | |
| 10.1.2 Suppliers Cooperated With (Within 12 Months) | | | | |
| No. of cooperation suppliers (total) | | Confidential | | |
| No. of suppliers (which cooperated over 2 times) | | Confidential | | |
| No. of provinces which cooperation suppliers belong to | | Confidential | | |
| Would the company like to provide design solution service for integration project? | | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | |
| If yes, these projects include | | Confidential | | |
| Would the company like to provide a total solution for purchasing? | | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> Part, _____ <input type="checkbox"/> No | | |
| If yes, please describe it | | Confidential | | |
| 10.1.3 Real Case Description: | | | | |
| Customer Name | | Confidential | | |
| Customer Country | | Confidential | | |
| Customer Region | | Confidential | | |
| Products Category | | Confidential | | |
| Order Value (USD) | | Confidential | | |
| Order Processing Process | | Confidential | | |
| Customer's Feedback | | Confidential | | |
| 10.2 Real Case for Lower MOQ & Lead Time | | | | |
| Products Name | MOQ (Within 12 Months) | | Lead Time | |
| Air Humidifier, Aroma Diffuser, Night Light, Alarm Clock | 10 PCS | | 3 Days | |
| 10.3 Real Case for Large Contract & Lead Time | | | | |
| Products Name | Order (Within 12 Months) | | Lead Time | |
| Confidential | Confidential | | Confidential | |
| 10.4 Overseas After Sales Service Capacity | | | | |
| 10.4.1 Do you have an overseas onsite service center? | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| 10.4.2 If yes, what onsite after-sales services are included | | Location | Evidence- provided | Self-description |
| Equipment -installation, maintenance and other services | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | N/A | N/A |



| | | | | |
|--|--|----------------|-----|-----|
| Technical advice | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | N/A | N/A | N/A |
| Personnel training | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | N/A | N/A | N/A |
| Other: | | | | |
| 10.4.3 Average response time | 2 Hours | | | |
| 10.5 After-sales service capacity | | | | |
| 10.5.1 The Average Guarantee Time | | | | |
| Product Category | | Guarantee Time | | |
| Air Humidifier, Aroma Diffuser, Night Light, Alarm Clock | | 2 Years | | |
| 10.5.2 The Longest Guarantee Time | | | | |
| Product Category | | Guarantee Time | | |
| Air Humidifier, Aroma Diffuser, Night Light, Alarm Clock | | 2 Years | | |
| 10.5.3 Does the company accept small order? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | | |

-- End of Report --